

NetX360[®] Mobile

USER GUIDE

November 2015



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1. Introduction

About this Document

This document provides details on using NetX360 mobile on the following devices:

- iOS devices
- Android
- Microsoft® Surface™ Pro

The images in this document show iPad pages. The pages on iPhone, Surface Pro and Android are similar.

Overview

NetX360 mobile keeps you on top of the latest market developments and provides access to essential business functions to support your investment decisions. In today's marketplace, it is imperative that you stay connected—at all times and in all places.

NetX360 mobile provides access to many of the key features of NetX360, including:

- Retrieve clients' account profiles, balances, holdings and activities
- Meet with clients and go through their accounts securely using Presentation Mode
- Trade equities, exchange-traded funds (ETFs) and mutual funds
- Deposit checks using your device camera for processing
- Receive time-sensitive alerts and notifications
- View account statements and other documents
- Access commission summary
- Review unrealized gains and losses
- Analyze stock quotes and access watch lists
- View top cash positions and projected cash flow
- View balances, holdings and activities for households
- Access MoneyGuidePro financial planning



Mobile Center on NetX360

For more information on NetX360 mobile, visit the Mobile Center on NetX360 (click **Tools** > **Mobile Center**).

System Requirements

iPhone and iPad

- Operating system—iOS 5.1.1 or higher.
 - iOS 6.0 or higher for the mobile check deposit feature
- Size—20 MB

Android

- Operating System—OS 4.4 or higher
- Size—16 MB

Surface Pro

- Surface Pro or any Windows® tablet running Microsoft Windows 8 Pro
- Size—10 MB

Entitlements

Entitlement Management Service (EMS)

For firms using EMS, the entitlements are:

BFE #	CATALOG TYPE	CATEGORY	BFE NAME	ACCESS LEVEL	DESCRIPTION
17053	Functional	Tools	NetX360 Mobile	Access	Allows a user wireless access to NetX360. Supports iPad, iPhone, Android and Windows Mobile.
1322	Functional	Order Management	Wireless Trading	Access	Allows a user to initiate equity or mutual fund trade orders. Note: These functions also require the wireless access entitlement.
16905	Functional	Asset Management	Mobile Check Deposit—RemitPro	Add	Allows a user to take an image of a check and deposit for processing.
16967	Functional	Document Management-iNautix	Imaging General Document View for Checks	Browse	Allows a user to access Remote Check Deposits images of checks in Imaged Documents
2870	Functional	Administrative	Identity Verification Code for NetX360-Email	Access	Allows a user to authenticate via e-mail using an Identity Verification Code (IVC).
2871	Functional	Administrative	Identity Verification Code for NetX360-Phone	Access	Allows a user to authenticate via phone using an Identity Verification Code (IVC).

BFE #	CATALOG TYPE	CATEGORY	BFE NAME	ACCESS LEVEL	DESCRIPTION
2872	Functional	Administrative	Identity Verification Code for NetX360-SMS	Access	Allows a user to authenticate via SMS using an Identity Verification Code (IVC).
1521	Functional	Content	NetX360 Moneyguide Pro	Access	Allows a user to access MoneyGuide Pro, Note: Requires additional setup and billing is administered directly with the vendor.
00000365	Functional	Account Maintenance	Account Notes	Add	Allows a user to add user-defined notes, or access previously stored notes on an account.
00000366	Functional	Account Maintenance	Account Notes	Update	Allows a user to update user-defined notes, or access previously stored notes on an account.
00000367	Functional	Account Maintenance	Account Notes – Private	Add and Update	Allows a user to add private user-defined notes, or access previously stored notes on an account.

Download NetX360 Mobile

iPad or iPhone

You can download the NetX360 mobile application directly to your iPad or iPhone by searching for **NetX360** on either of the following:

- Apple App Store
- Apple iTunes®

Android

You can download the NetX360 mobile application directly to your Android device by searching for **NetX360** on Google Play Store.

Surface Pro

You can download the NetX360 mobile application directly to your Surface Pro device by searching for **NetX360** on the Windows Store.

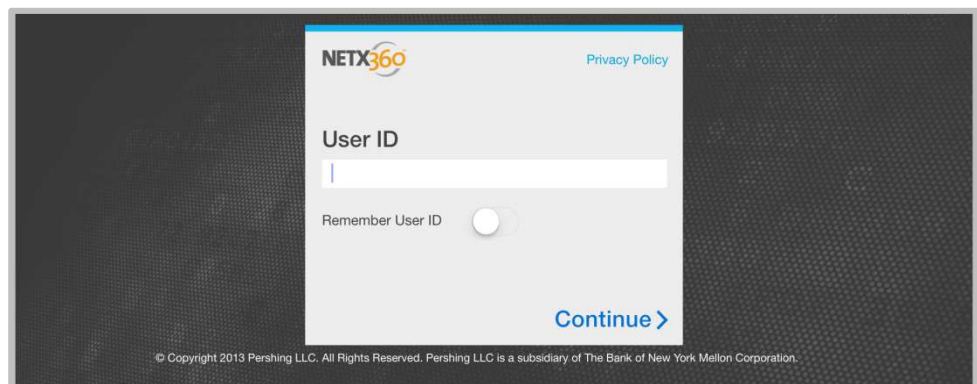
Log In to NetX360 Mobile

Once you have downloaded NetX360 mobile, you can log in. Your login user ID and password for NetX360 mobile are the same as you normally use to log in to NetX360. The NetX360 mobile login provides enhanced authentication as required for financial use.

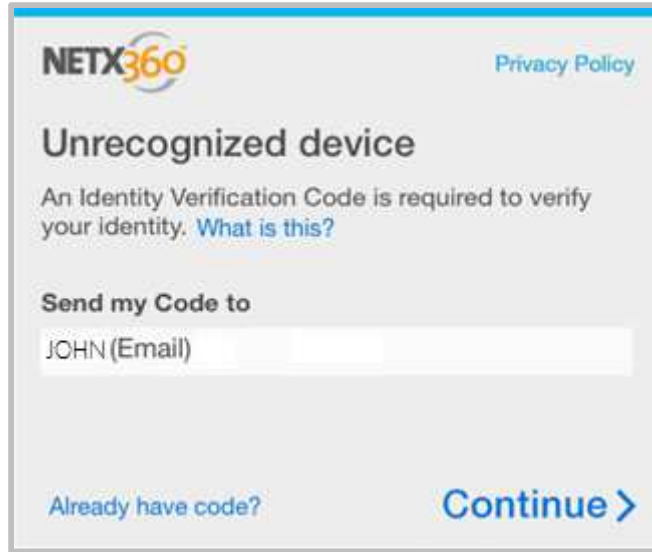
Log In to NetX360 Mobile

Note: Before you log in to NetX360 mobile, you must set up security questions on NetX360 for Windows or NetX360.com.

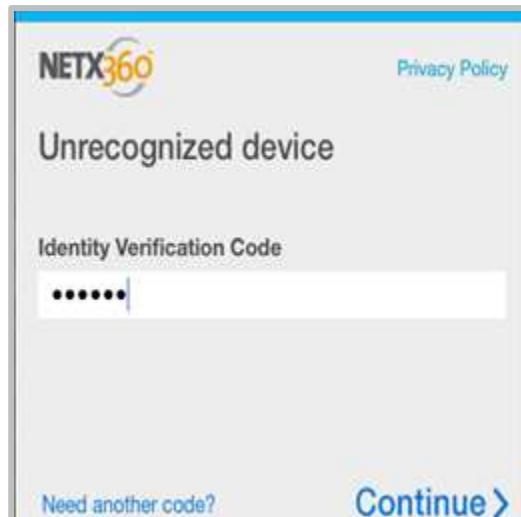
1. Launch NetX360 mobile. The login page displays.



2. Enter your user ID and tap **Login** or **Continue**. If your mobile device is secure, you can turn the **Remember Me** option **On** to remember your User ID the next time you launch the application.
3. If your firm has configured Identity Verification Code (IVC), the Unrecognized Device page displays. For assistance on configuring the IVC, contact your home office.




4. Select the device to which the IVC code should be sent.
5. Tap **Continue**. The Unrecognized Device page displays.



6. In the **Identity Verification Code** field, enter the code that has been sent to your device and tap **Continue**.
7. Enter your password and tap **Continue**. The NetX360 mobile home displays.





Log Out of NetX360 Mobile

1. In iOS, tap the logout icon  in the upper right corner.
In Android, tap the logout link in the upper right corner.
2. If a confirmation message displays, tap **OK**.

2. Navigating NetX360 Mobile

Overall navigation of NetX360 mobile is similar for all supported devices, but some navigation is platform-specific.

General Navigation

TO	DO THIS
Launch a feature	Tap a tile on the home page
Move among pages	Swipe across Or Tap the arrow icon to the right of the page name, then tap the desired page
Return to the home page	Tap the back icon 
Close a page (iPad and Surface Pro only)	Tap the close icon 

iPad Navigation

Utilities Menu

The **Utilities** menu on the right side of the page includes some general options which are always available, including:

- Settings
- Feedback
- Privacy Policy
- Terms of Use
- Home

On some pages, the menu includes additional tasks that are related to the current page.

Display the Utilities Menu

- Tap the **Menu** icon on the right side of the page.

Shortcuts

The shortcuts along the bottom of the iPad home page allow you to access My Day, My Clients and My Practice.

Access Shortcuts

- Tap the arrow icon at the bottom of the page.

iPhone Navigation

NetX360 mobile for iPhone provides a single menu at the bottom of the page. This menu includes some general options which are always available, including:

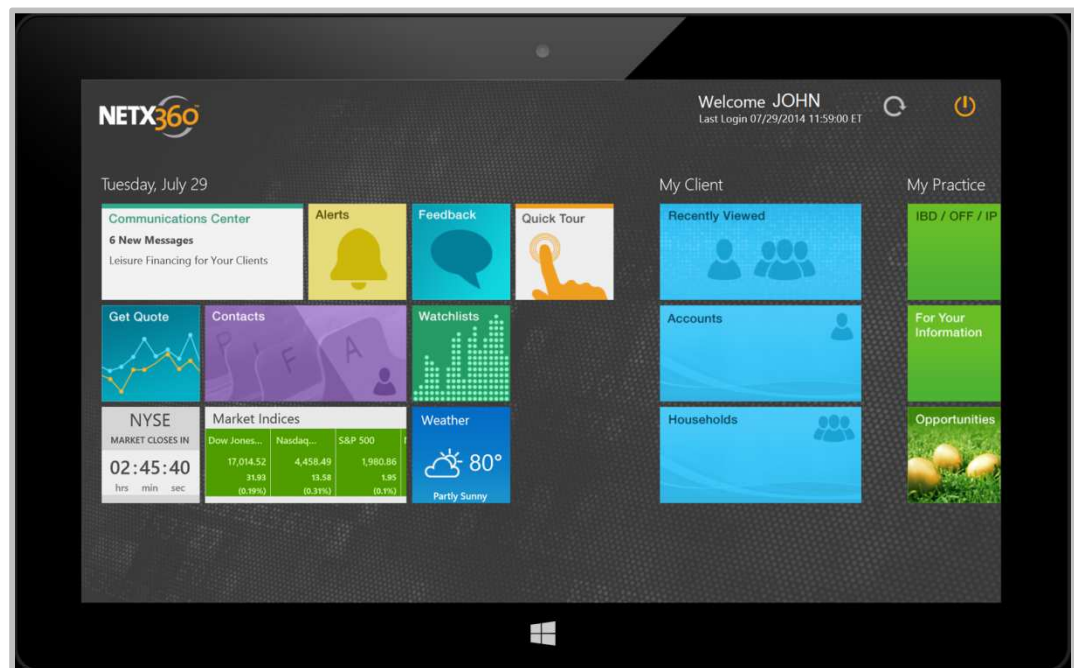
- Logout
- Feedback
- Privacy Policy
- Terms of Use
- Home

On some pages, the menu includes additional tasks that are related to the current page.

Display the Menu

- Tap the menu icon in the lower right corner.

Surface Pro Navigation



Top and Bottom App Bars

App bars at the top and bottom provide access to NetX360 mobile functions:

- Top app bar
 - Open a new tab
 - Close a tab
 - View a different tab

- Bottom app bar
 - o Access My Day, My Clients and My Practice
 - o Enable Presentation View

Access Top and Bottom App Bars

- Swipe up from the bottom or down from the top.

Charm Bar

The Microsoft Surface Pro Charm bar on the right side of the page provides access to standard Surface Pro functions. You can use the Search feature to search NetX360 mobile.

Access the Charm Bar

- Swipe across from the right side.

Tabbed Pages

NetX360 mobile for Surface Pro allows you to open more than one page at a time using tabs. This capability allows you to move from one task to another seamlessly.

Open a Tab

1. Swipe down from the top to display the top app bar.
2. Tap the add tab icon in the upper right.

View a Different Tab

1. Swipe down from the top to display the top app bar.
2. Tap the desired tab.

Close a Tab

1. Swipe down from the top to display the top app bar.
2. Tap the **X** icon in the desired tab.

Android Navigation

Menu

The menu on the upper left side of the page includes the main navigation options which are always available, including:

- Home
- My Day
- My Client
- My Practice

Display the Menu

- Tap the menu icon in the upper left corner.

Utilities Menu

The **Utilities** menu on the right side of the page includes some general options which are always available, including:

- Settings
- Feedback
- Privacy Policy
- Terms of Use
- Check for Updates


These options are also available on the list of main navigation options on the top left. On some pages, the menu includes additional tasks that are related to the current page.

Display the Utilities Menu

- Tap the **Menu** icon on the right side of the page.

Quick Tour

When you log in to NetX360 mobile for the first time, a Quick Tour walks you through some navigation tips and key features.

- To close the Quick Tour, tap the close icon  in the upper right.
- At any time, you can view the Quick Tour again by tapping the Quick Tour tile on the home page.

3. NetX360 Mobile Home Page

The home page, which displays when you log in to NetX360 mobile, provides quick access to NetX360 mobile functions.

Launch a Feature

- Tap the tile on the home page.



My Day

The **My Day** section, the main area of the home page, displays content tiles for NetX360 mobile functions, including:

- Communications Center
- Alerts
- Feedback
- Quick Tour
- Get Quote
- Contacts
- Watch Lists
- Market Clock
- Market Indices

- Weather

My Clients

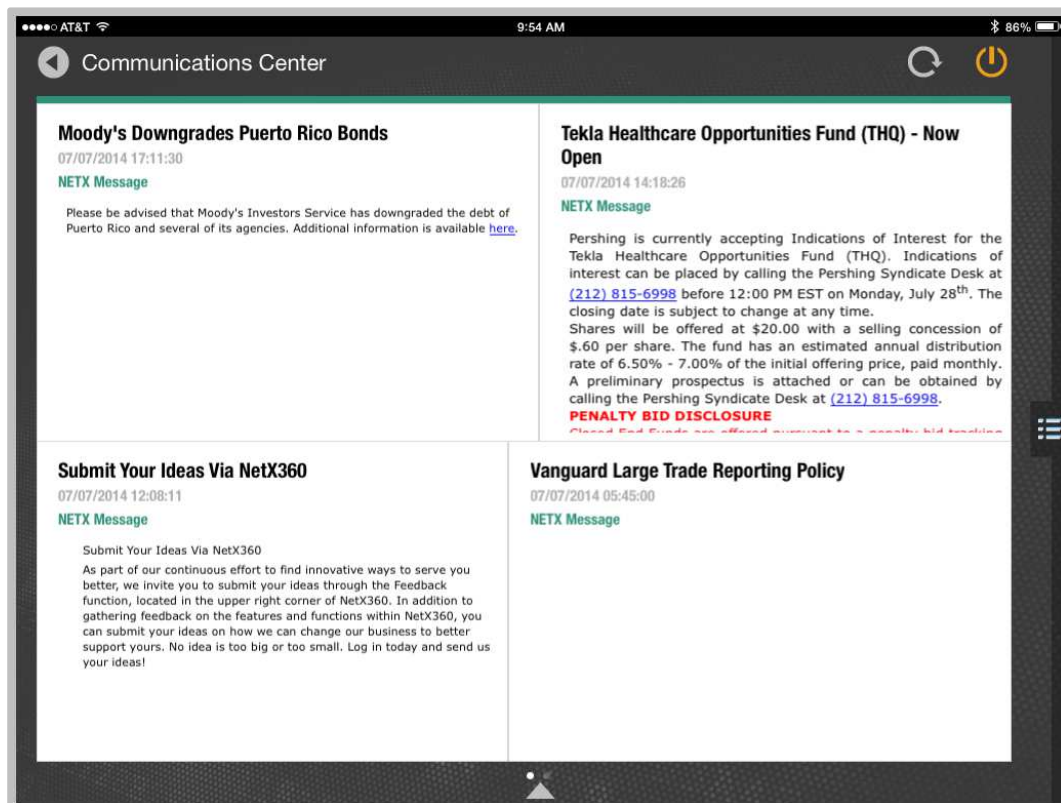
My Clients enables you to quickly access information about client accounts and households, place trades, deposit checks, enter account notes and for entitled users, access MoneyGuidePro financial planning.

My Practice

My Practice enables you to view account information across your book of business. You can also view your revenue summary and evaluate investment ideas.

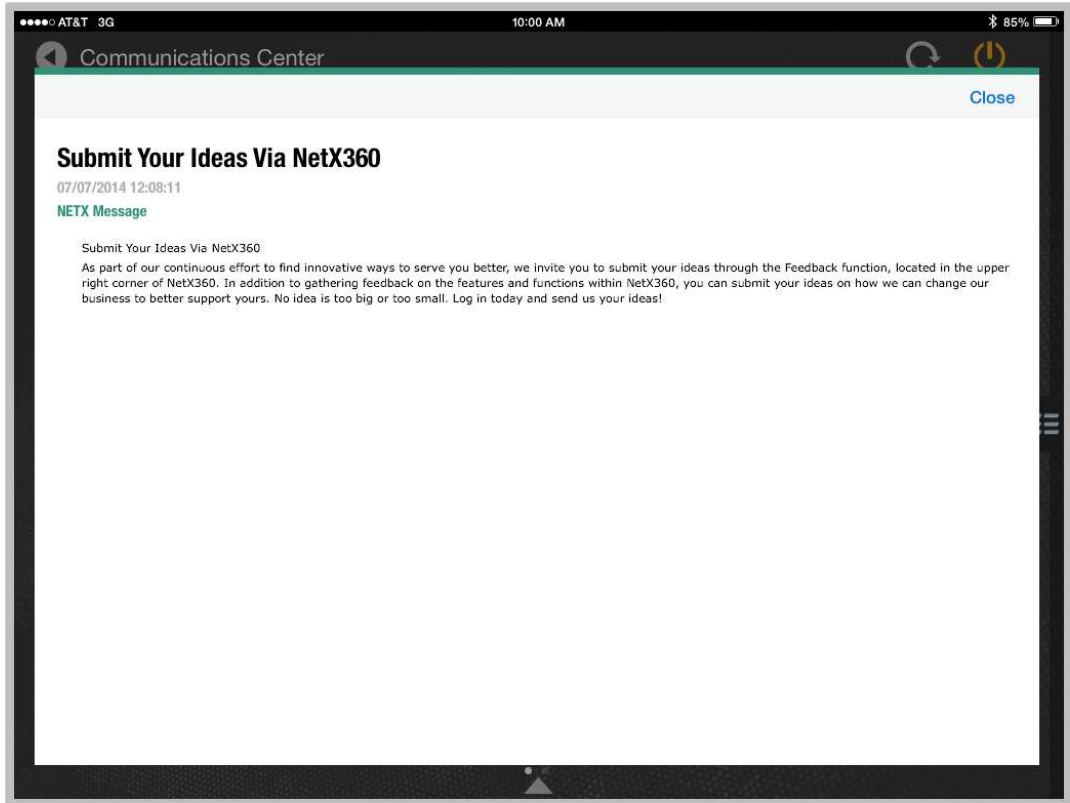
4. Communications Center

Communications Center displays messages from Pershing and your firm.



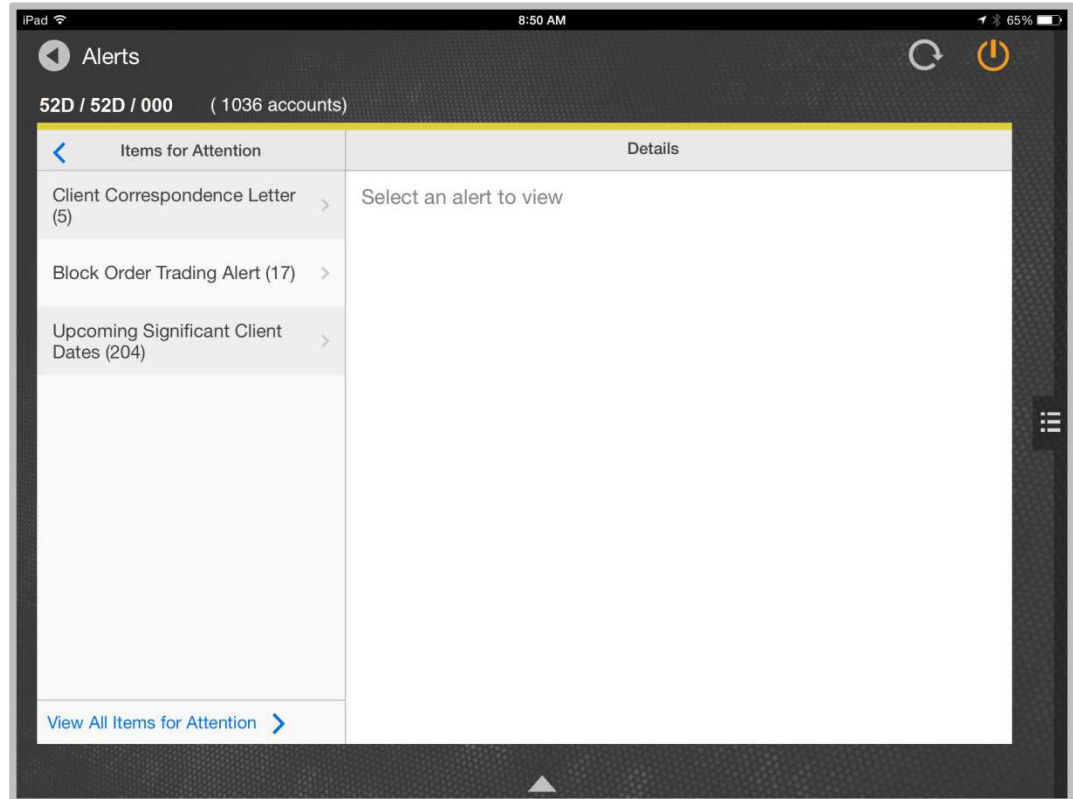
View a Message in Communications Center

1. Tap the **Communications Center** tile on the home page.
2. Tap the message you want to view.



5. Alerts


The Alerts page displays alerts and items for attention (IFA) for your accounts.



View an Alert or IFA

1. Tap the **Alerts** tile on the NetX360 mobile home page. The **Alerts** page displays.
2. Tap a category.
3. Tap an item to view details.

View All Items for Attention

1. Tap the **Alerts** tile on the NetX360 mobile home page. The **Alerts** page displays.
2. Tap **View All Items for Attention** in the lower left. The **All Items for Attention** page displays.
3. Tap a number link in the **No. of Items** column. A list of items in the selected category displays.
4. To return to the **All Items for Attention** page, tap **Back**.
5. To close the **All Items for Attention** page, tap the close icon  in the upper right.

IPad 8:51 AM 65%

All Items for Attention

Select: IFA Summary IBD#: 52D OFF#: 52D IP#:000

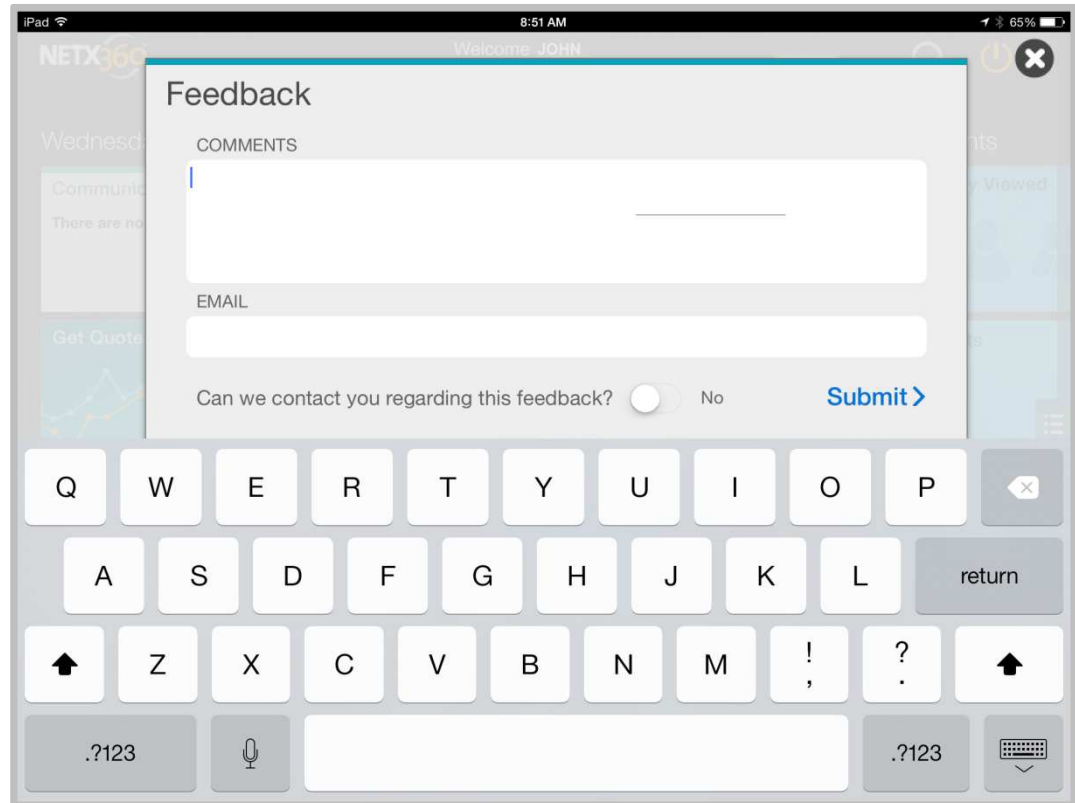
Action Items	No. of Items	Pending Approval	As of Date*
Margin Details	115	N/A	06/11/2014

FYIs	No. of Items		As of Date*
Client Correspondence Letter	5		06/10/2014
Upcoming Significant Client Dates	204		06/10/2014
Block Order Trading Alert	17		06/11/2014

* Dates in red indicate that the data is not current.
 **Trade Break information is only available at the Introducing Broker Dealer level.

6. Feedback

NetX360 mobile enables you to provide feedback to Pershing on the application. This helps Pershing improve its applications and provide the features users need most.

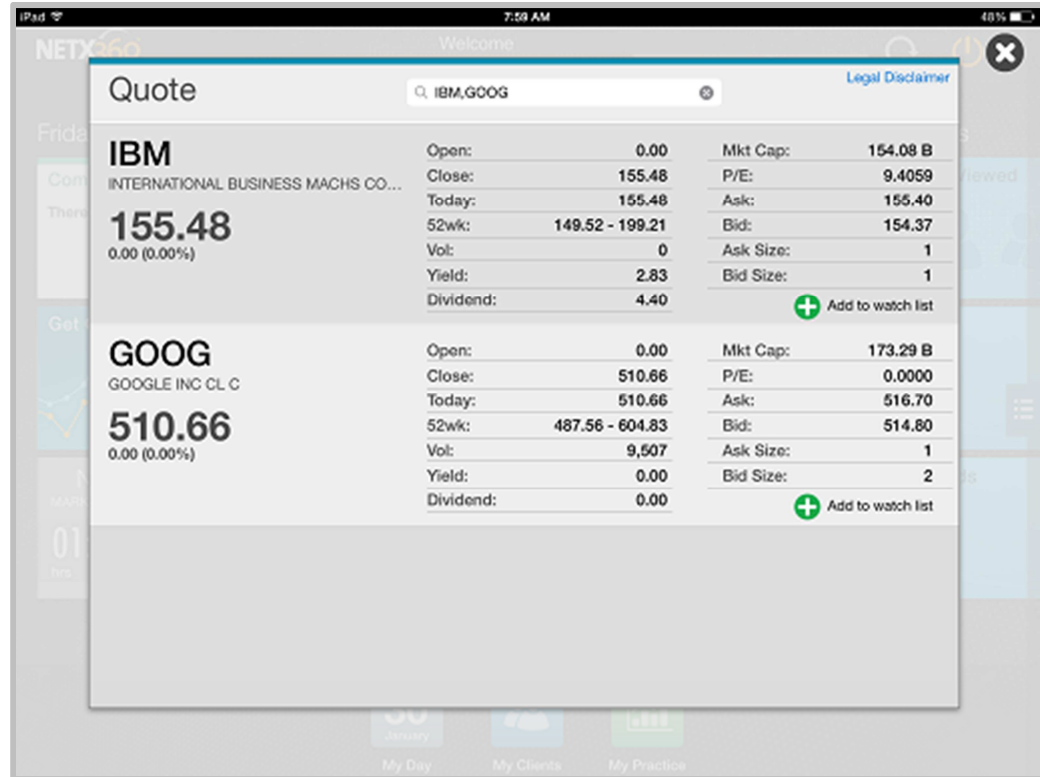


Submit Your Feedback to Pershing

1. Tap the **Feedback** tile on the NetX360 mobile home page. The **Feedback** page displays.
2. In the **Comments** field, enter your feedback. Be as specific as possible.
3. In the **Email** field, enter your e-mail address.
4. Pershing sometimes contacts users who have submitted feedback to get additional information. Select the desired response to the question **Can we contact you regarding this feedback?**
5. Tap **Submit**. A feedback e-mail displays.
6. Confirm the information is correct and tap **Send** in the upper right.

7. Get Quote

Get Quote provides quotes on equities and other securities.



Get a Quote

1. Tap the **Get Quote** tile on the NetX360 mobile home page. The **Quote** page displays.
2. In the **Search** field, enter the symbol or name of the security. A list of matching search results displays.

To view multiple quotes, enter the symbols, separated by commas.

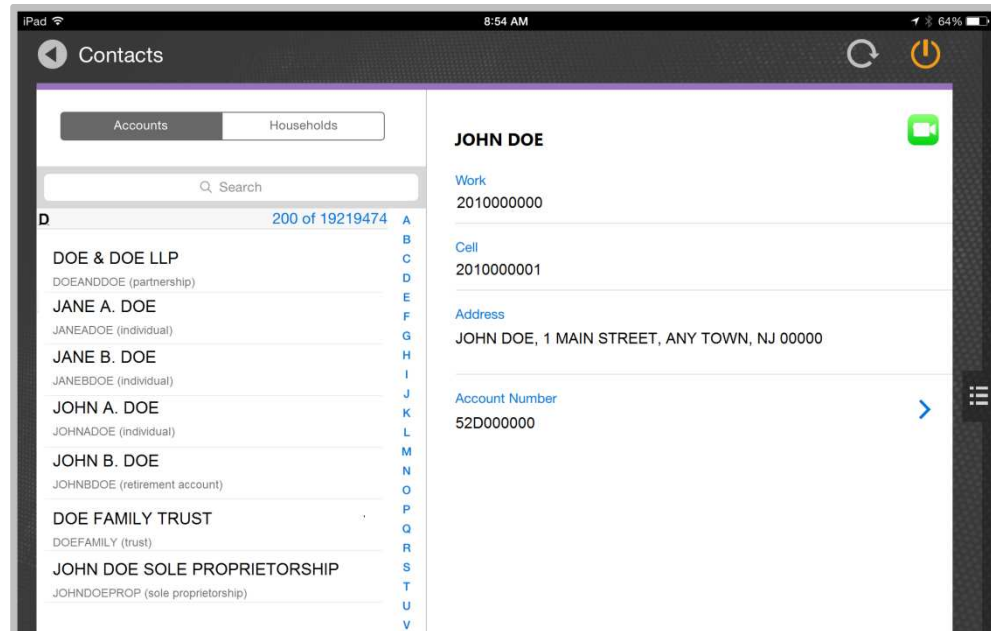
3. Tap a search result. A quote for the selected security displays.

Add Security to Watch List


1. If you want to add the security to a watch list, tap + **Add to watch list**. A list of watch lists displays.
2. Tap the desired watch list. A confirmation message displays.
3. Tap **OK**.

8. Contacts

Contacts provide a list of your clients, both individuals and households.



Access Contact Information

1. Tap the **Contacts** tile on the NetX360 mobile home page. The **Contacts** page displays.
2. By default, account contacts are displayed. If desired, tap **Households** to access household contacts.
3. Locate the desired contact by doing one of the following:
 - o Tap the **Search** field, enter the first few letters of the contact name, and tap **Search**. A list of matching contacts displays.
 - o A list of letters displays to the right of the contact names. Tap the first letter of the client's last name (individual) or the first letter of the household name, and swipe up and down to scroll through the list.
 - o Swipe to up and down scroll through the entire list of contacts.
4. Tap the desired contact to display details, including phone numbers, address and account numbers.
5. If desired, tap the address to view a contact's address in Apple Maps or Bing Maps.
6. (iPad and iPhone only) If desired, tap the FaceTime icon  in the upper right to start a FaceTime session with the contact.

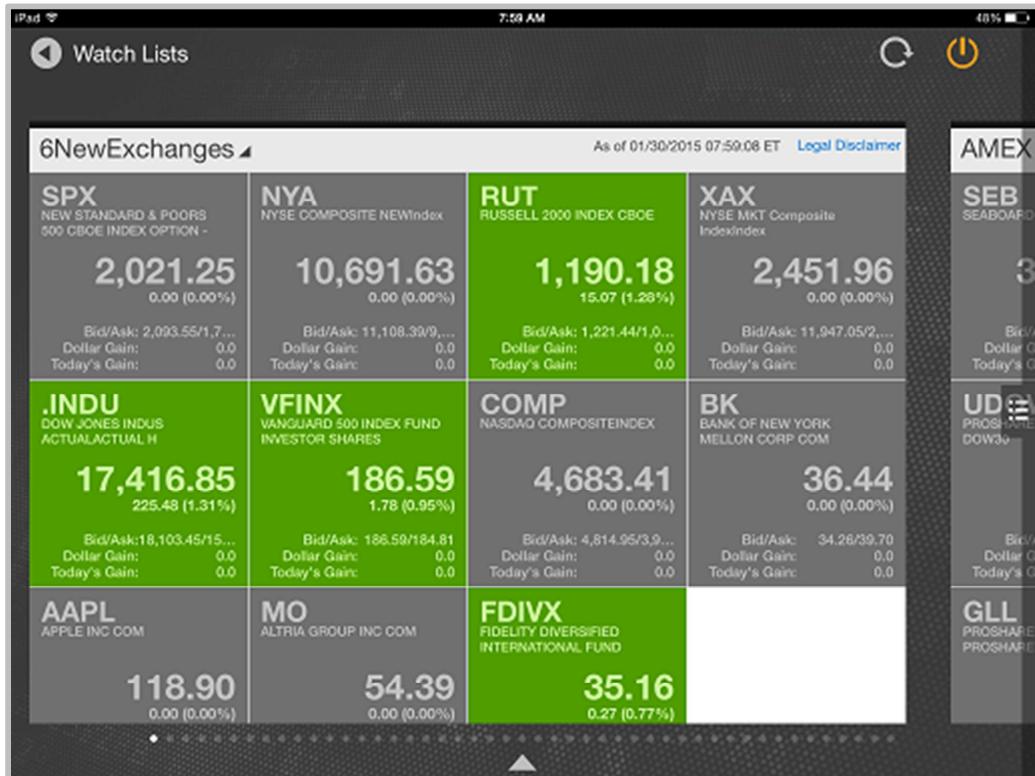
9. Watch Lists

The **Watch Lists** page displays your security watch lists. If you have more than one watch list, you can swipe to move between watch lists.

You can select your preferred view for a watch list from the **Utilities** menu or app bar:

- Heatmap view
- Grid view

Heatmap View



Grid View

WatchLists

NYSE Dollar Winners 06/11/2007 ▲ As of 06/11/2014 10:00:03 ET [Legal Disclaimer](#)

Symbol	Price	Change	Volume	Market Cap	Dollar Gain	Today's Gain
CME CME GROUP INC COM	\$69.73	-\$0.30 (-0.43%)	69,800	23.41 B	-89,993,027.00	-30.00
X UNITED STS STL CORP NEW COM	\$23.86	+\$0.01 (0.0%)	231,095	3.45 B	0.0	0.0
CAE CAE INC	\$13.64	-\$0.07 (-0.51%)	1,400	3.60 B	56,462.04	-700.77
ALX ALEXANDERS INC **EXCH** EFF 4/29/96 1...	\$362.96	+\$0.49 (0.14%)	1,337	1.85 B	-190,722,840...	103.88
Y ALLEGHANY CORP DEL COM	\$430.40	-\$0.59 (0.0%)	125	7.09 B	0.0	0.0
RTP	\$0.00	\$0.00 (0.0%)	0			


NYSE
AIG
AMERICAN I
C
CITIGROUP
BAC
BANK AM
F
FORD MOT
GM
GENERAL M
PFE
PFIZER INC

View a Watch List

- Tap the **Watch Lists** tile on the NetX360 mobile home page. The **Watch Lists** page displays.

Move Between Watch Lists

- Swipe across.
Or

Tap the arrow icon  to the right of the page name and tap the desired watch list.

Change the Watch List View

1. Display the desired watch list.
2. Tap **Grid View** or **Heatmap View** from the menu or app bar. The watch list displays the selected view.

View Security Details in Heatmap View

- Tap a tile on the heatmap to view a detailed quote for the security.



Create a Watch List

1. Tap the **Watch Lists** tile on the NetX360 mobile home page. The **Watch Lists** page displays.
2. Tap + **New Watchlist** from the menu or app bar. The **New Watch List** page displays.
3. In the **Name** field, enter a name for the new watch list.
4. Tap + **Add Symbol**.
5. In the **Search** field, enter the security symbol you want to add and tap **Search**. A list of matching securities displays.
6. Tap the desired security. The security is added to your watch list.
7. Repeat as desired to add more securities to the watch list.
8. To remove a security from the watch list, tap the delete icon. The security is removed from the watch list.
9. When you are done adding securities to the watch list, tap **Save**.

Edit a Watch List

1. Display the watch list you want to edit.
2. Tap **Edit Watch List** from the menu or app bar. The **Edit Watch List** page displays.
3. To add securities to the watch list:

- o Tap + **Add Symbol**.
 - o In the **Search** field, enter the security symbol you want to add and tap **Search**. A list of matching securities displays.
 - o Tap the desired security. The security is added to your watch list.
4. To remove a security from the watch list, tap the delete icon. The security is removed from the watch list.
 5. When you are done, tap **Save**.

Delete a Watch List

1. Display the watch list you want to delete.
2. Tap **Edit Watch List** from the menu or app bar. The **Edit Watch List** page displays.
3. Tap **Delete Watch List**.

10. Market Clock

The **Market Clock** tile on the NetX360 mobile Home page displays the time remaining until the NYSE closes. You can open the **Market Clock** page to view the time remaining until closing time for various stock exchanges around the world:

- New York Stock Exchange (NYSE)
- Toronto Stock Exchange (TSX)
- London Stock Exchange (LSE)
- Hong Kong Stock Exchange (SEHK)
- Tokyo Stock Exchange (TSE)
- Australian Stock Exchange (ASX)



View Time Remaining Until Closing for Additional Stock Exchanges

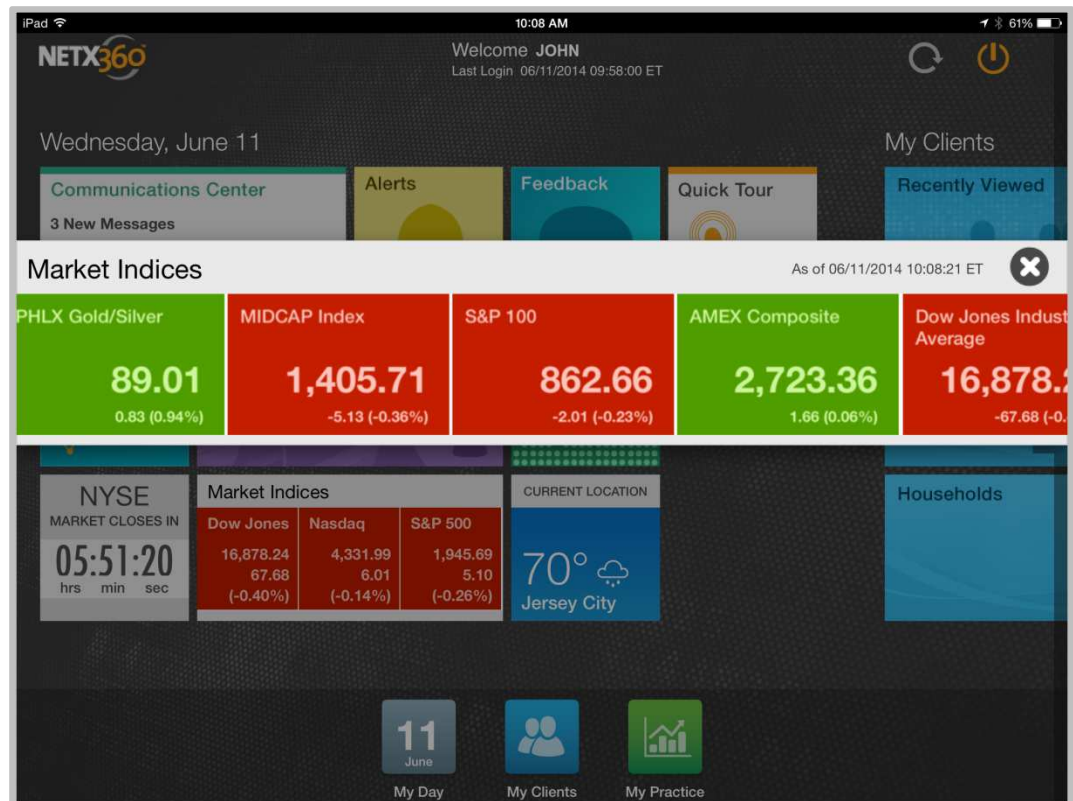
- Tap the **Market Clock** tile on the NetX360 mobile home page. The **World Market Clock** page displays.

11. Market Indices

Market Indices provides updates on various market indices, including Dow Jones, Nasdaq and S&P 500.

Tiles are color-coded to indicate status:

- Green—Index is up
- Red—Index is down
- Gray—Index has not changed



View Additional Indices

- Tap the **Market Indices** tile on the NetX360 mobile home page.

12. Weather

NetX360 mobile provides weather forecasts for your current location as well as locations of your future appointments.

- The weather tile on the NetX360 mobile home page displays a quick forecast for your current location.
- The **Weather** page displays more detail, including:
 - o Weather forecast for your current location for today and the next five days.
 - o Weather forecast for the location of the next appointment on your mobile device calendar, if applicable.




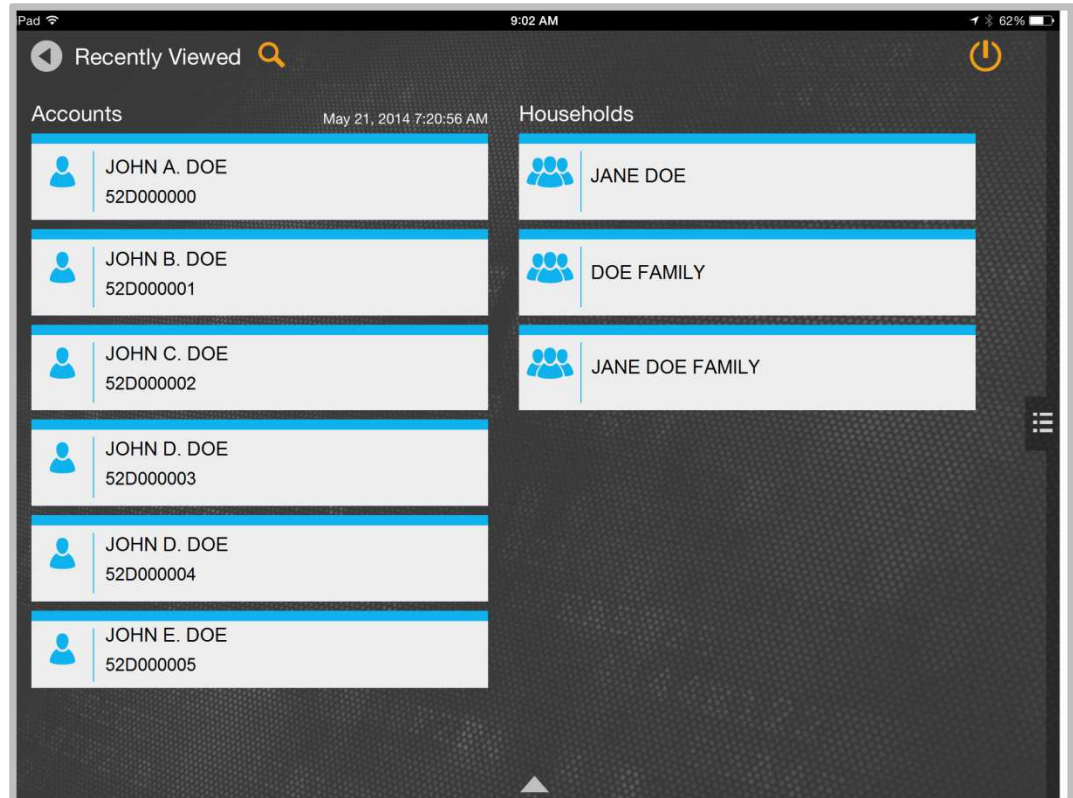
View the Full Forecast

1. Tap the weather tile on the NetX360 mobile home page. The **Weather** page displays the forecast for your current location.
2. If forecasts for additional locations are available, swipe to view the forecasts for other locations.

13. My Clients

My Clients enables you to quickly access information about client accounts and households, place trades and enter account notes.

- Tap a tile to move to that section.
- From an account or household page or the **Recently Viewed** page, tap the back icon  to return to **My Clients**.




View Client Account Information

The NetX360 mobile account workbook displays client account information. The account workbook includes a summary page as well as detail pages such as Balances, Holdings and Activity.

Open a Recently Viewed Account or Household

1. Tap the **Recently Viewed** tile under **My Clients** on the NetX360 mobile home page.
2. Tap the desired account or household. The **Summary** page displays.
3. Swipe across to view detail pages for the account or household.


Search for an Account or Household

1. Tap the **Accounts** or **Households** tile on the NetX360 mobile home page.
2. Tap the search icon .
3. Enter the first few characters of the account or household number or short name. Matching accounts or households display.
4. Tap the desired account or household. The **Summary** page displays.
5. Swipe across to view detail pages for the account or household.

Move Between Pages

- Swipe across to move between pages.

Or


Tap the arrow icon  to the right of the page name and tap the desired page.

- Pages include the following:
 - Summary
 - Balances
 - Holdings
 - Activity
 - Orders
 - Transaction Status
 - Notes
 - Projected Cash Flow
 - Documents

Note: The availability of pages differs between iPhone and iPad. The pages available at the account level might not be available at the all accounts level.


View the Full Account Profile

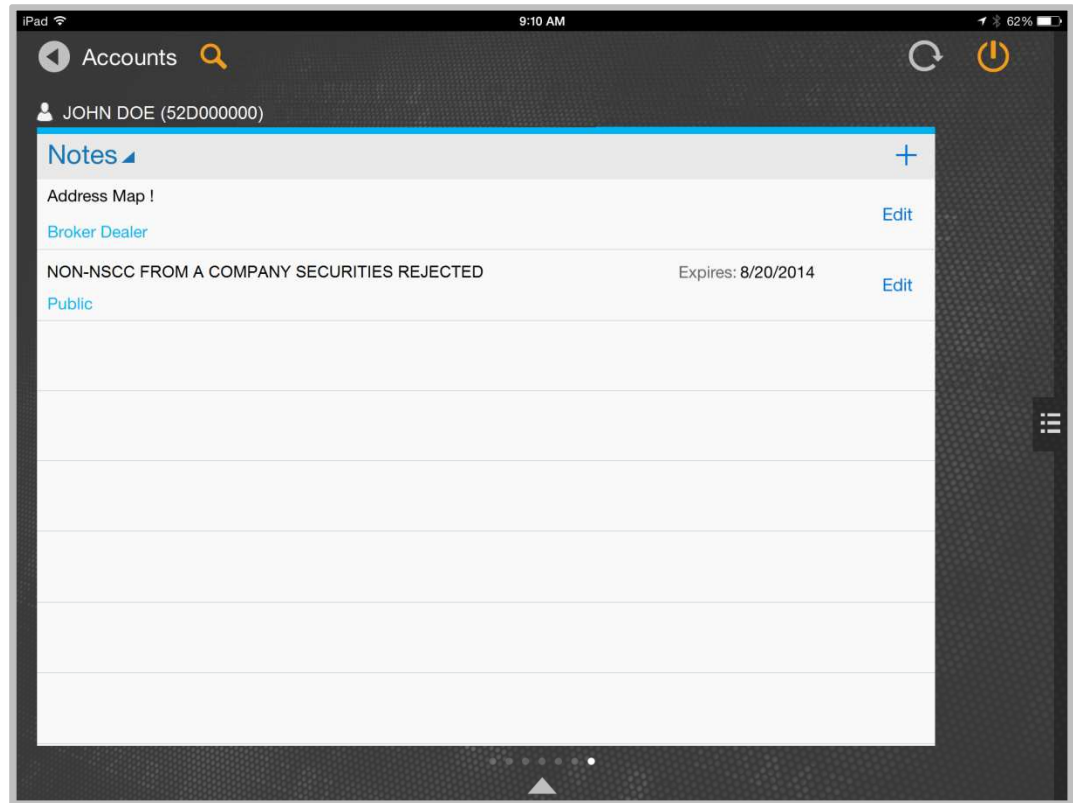
This feature is available on NetX360 mobile for iPad, Android and Surface Pro.

- From the account **Summary** page, tap the Summary icon. The **Profile** displays.
- To close the profile, tap the close icon  in the upper right or tap the back icon in Android.



Add a Note to an Account

1. From any page in the account workbook, the arrow icon  to the right of the page name and tap **Notes**. The **Notes** page displays.
2. Tap the add note icon in the upper right.
3. Enter the note text.
4. To select who can view the note, tap **Access** and select the desired option:
 - o Broker-Dealer—All users within your firm can view the note.
 - o Private—Only you can view the note.
5. Tap **Done** to save the note to the account.



Presentation Mode

Presentation mode allows you to share selected information with clients. Presentation mode displays only the information that the client needs to see, and hides other clients' confidential information.

This feature is available on NetX360 mobile for iPad, Android and Surface Pro.

iPad 9:53 AM 89%

Presentation in progress... Exit >

JOHN DOE (52D000000)

Holdings ▾ As of 07/11/2014 08:53:12 ET Legal Disclaimer

Positions Unrealized Gain/Loss Realized Gain/Loss

Symbol/CUSIP	Quantity	Price(\$)	Market Value(\$)
AUO AU OPTRONICS CORP SPON ADR	-80.0	4.2400	-339.2
AAP ADVANCE AUTO PTS INC COM	1.0	132.5400	132.54
AAPL APPLE INC COM	19600.0	95.2982	1,867,844.72
CFWFF CALFRAC WELL SVCS LTD COM ISIN#CA1295...	-100.0	19.6267	-1,962.67
24702R101 DELL INC COM CASH MGR EFF 10/30/13 1 OL...	-3800.0		0
DIS DISNEY WALT CO DISNEY COM	-329.0	86.9199	-28,596.65
DISCA DISCOVERY COMMUNICATIONS INC NEW CO...	-200.0	77.5800	-15,516
DD DU PONT E I DE NEMOURS & CO COM	600.0	64.8880	38,932.8
DUK DUKE ENERGY CORP NEW COM NEW	-2.0	72.3801	-144.76
ELRFF	1800.0	0.0984	177.12

Activity

July 2014
07/11/2014
07/10/2014
07/10/2014
07/02/2014
June 2014
06/24/2014
06/24/2014
06/24/2014
06/19/2014

Turn On Presentation Mode

1. Open the desired account or household.
2. Tap **Presentation Mode** on the menu or app bar. A message at the top of the page informs you that presentation mode is in effect.

Turn Off Presentation Mode

1. Tap **Exit** in the upper right corner of the page.
2. Enter your NetX360 password.

Trading

From NetX360 Mobile, you can enter equity and mutual fund orders.

Enter an Equity Order


1. Display the account for which you want to place an order. See *Chapter 13. My Clients* on page 30.
2. Tap **Trade** on the menu or app bar. The **New Order** page displays. By default, **Equity** is selected.

Tip: You can also access trading by tapping the **Trade** tile under **My Clients** on the NetX360 Mobile Home page.

3. Enter order details. See *Equity Order Fields* below.
4. Tap **Review before sending**. The confirmation page displays order details you entered on the previous page.
5. Confirm the order details are correct and tap **Submit**.

Equity Order Fields

The table below describes the fields on the Equity Order page. Required fields are marked with an asterisk (*).

FIELD	ENTER OR SELECT
Asset Type*	Equity
Transaction*	<ul style="list-style-type: none"> • Buy • Buy to Cover • Sell from Position • Sell Not in Account • Sell Short
Quantity*	Order quantity
Symbol*	Equity symbol. Tap the search icon  to search for a symbol

FIELD	ENTER OR SELECT
Order Type*	<ul style="list-style-type: none"> • Market • Limit • Stop • Stop Limit
Limit Price*	<p>This field is required if you selected Limit or Stop Limit in the Order Type field.</p> <p>Limit price</p>
Stop Price*	<p>This field is required if you selected Stop or Stop Limit in the Order Type field.</p> <p>Stop price</p>
Duration*	<ul style="list-style-type: none"> • Day—Good for the current day only • GTC—Good until cancelled • GTD—Good-till-date • GTW—Good-till-week. Order is good up to and including the seventh business day from the date the order is entered • GTM—Good-till-month. Order is good up to and including the number of days for the month that the order is entered • FOK—Fill or kill • OPG—Open only • IOC—Immediate execution or cancel
Account Type*	<ul style="list-style-type: none"> • Cash • Margin • Short (available if you selected Sell Short in the Transaction field)
Discretion Exercised*	<ul style="list-style-type: none"> • Yes • No (Default)
Additional Order Data	<ul style="list-style-type: none"> • All or None • Not Held • Do Not Reduce Price • Do Not Increase Shares • Cash—Same Day Settle • Next Day Settlement
Comments	Additional comments about the order

Enter a Mutual Fund Order

1. Display the account for which you want to place an order. See *Chapter 13. My Clients* on page 30.
2. Tap **Trade** on the menu or app bar. The **New Order** page displays. The **New Order** page displays. By default, **Equity** is selected.


Tip: You can also access trading by tapping the **Trade** tile under **My Clients** on the NetX360 mobile Home page.

3. In the **Asset Type** field, tap **Mutual Fund**.
4. Enter order details. See *Mutual Fund Order Fields* below.
5. Tap **Review before sending**. The confirmation page displays order details you entered on the previous page.
6. Confirm the order details are correct and tap **Submit**.

The screenshot shows the 'New Order' screen on an iPad. At the top, there are 'Cancel' and 'Clear' buttons on the left, the title 'New Order' in the center, and 'Review before sending >' on the right. Below this, the account name 'JOHN DOE (52D000000)' is displayed on the left and 'Funds Available : [refresh icon]' on the right. The 'Asset Type' section has 'Equity' and 'Mutual Fund' buttons, with 'Mutual Fund' selected. The 'Transaction' section has 'Buy', 'Redeem', and 'Exchange' buttons, with 'Buy' selected. The main form area contains several fields: 'Symbol' with a search icon, 'Fund Details' with a chevron, 'Amount' with 'Currency' and 'Shares' tabs and a '\$' symbol, 'Discretion Exercised' set to 'No', 'Reinvest Div/Inc' and 'Reinvest Cap Gains' both with toggle switches turned on, 'NAV Ind' with a chevron, 'Short Settle' with a toggle switch, and a 'Comments' text area. At the bottom, there are 'Cancel' and 'Clear' buttons on the left and 'Review before sending >' on the right.

Mutual Fund Order Fields

The table below describes the fields on the Mutual Fund Order page. Required fields are marked with an asterisk (*).

FIELD	ENTER OR SELECT
Asset Type*	Mutual Fund
Transaction*	<ul style="list-style-type: none"> • Buy • Redeem • Exchange
Symbol*	Mutual fund symbol. Tap the search icon  to search for a symbol
Fund Details	Populates automatically when you select a symbol

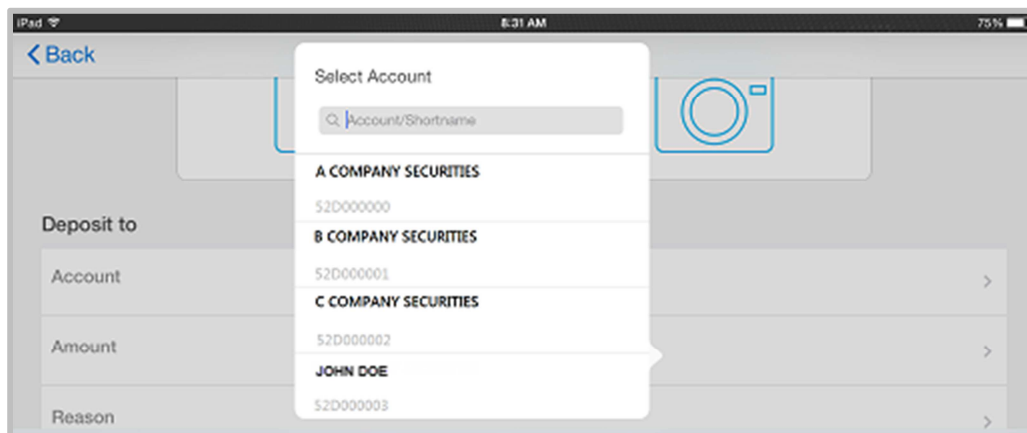
FIELD	ENTER OR SELECT
Amount	Indicate how you want to enter quantity: <ul style="list-style-type: none"> • Currency • Shares • Full (applies to redeem and exchange orders only) • Enter the amount in the adjacent field
Discretion Exercised*	<ul style="list-style-type: none"> • Yes • No (Default)
Reinvest Div/Inc	Applies to buy and exchange orders only Indicate whether you want to reinvest dividends and income
Reinvest Cap Gains	Applies to buy and exchange orders only Indicate whether you want to reinvest capital gains
NAV Ind	Indicate whether you want to buy the mutual fund at the NAV
Short Settle	Short settlement buy/redeem
Comments	Additional comments about the order

14. Deposit Checks

Mobile check deposit feature provides you with a convenient method for depositing client checks for processing using your iPhone®, iPad® and Android.

To deposit checks:

1. From the landing screen, tap **Deposit** in the **My Clients** section.
Or
From the account view, tap the right panel (iPad) or bottom panel (iPhone) to access **Deposit**.
2. Take a photo of the front and back of the check.
3. Follow the prompts to enter the check amount, select the desired account(s), and enter the deposit amount.




4. For qualified accounts, select a deposit source code for each account.
5. Verify the deposit details and tap **Confirm**.

iPad 8:33 AM 75%


< Back Deposit

Review

Front of Check



Back of Check



Total Amount	\$1000
Deposit to	D COMPANY SECURITIES(52D000003)
Amount	\$1000
Reason	Check Deposit

Please note, any check submitted after 3 PM EST will be processed the following business day.

[Cancel](#)
[Confirm >](#)

15. Money Guide Pro Financial Planning

MoneyGuidePro Financial Planning software enables entitled users to plan their finances and track their goals effectively through NetX360 mobile. All users who access this via the desktop version can access the software through their iPad.

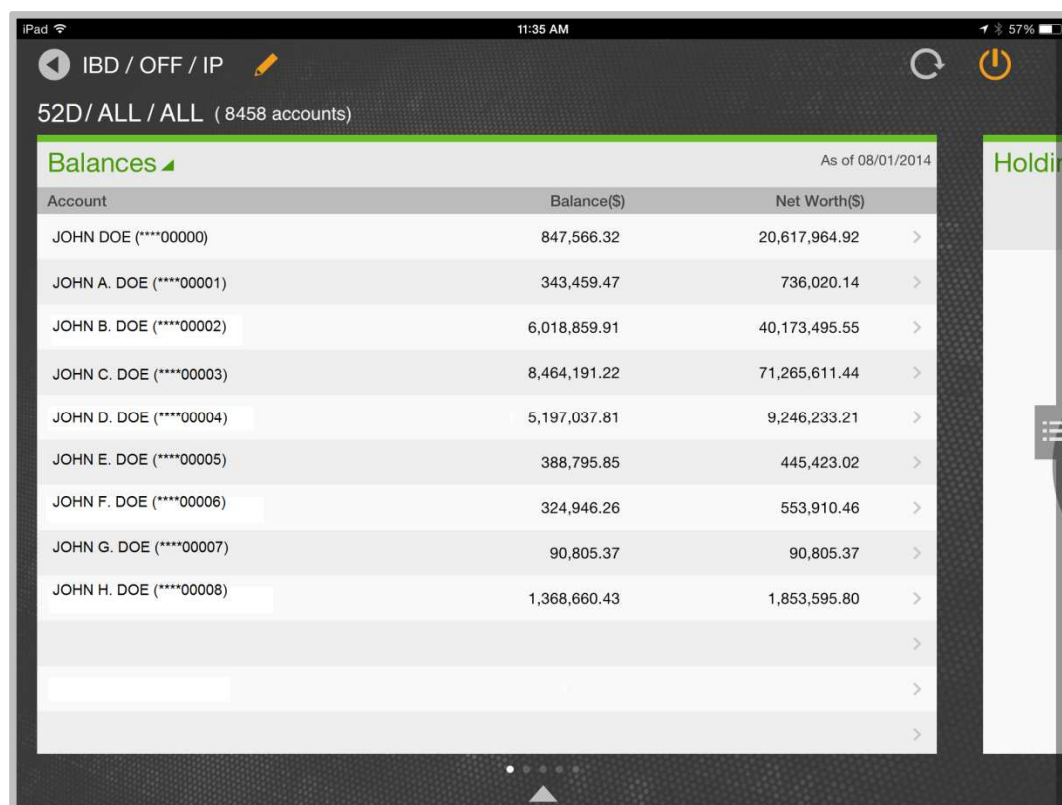


16. My Practice

My Practice enables you to quickly access information you need to manage your business.

Accounts


The accounts section provides a consolidated view of account information at the firm, office or advisor level.




The screenshot shows the 'Balances' page on an iPad. The page title is 'Balances' with a dropdown arrow, and the date is 'As of 08/01/2014'. The table lists accounts for individuals named JOHN A. through JOHN H. DOE, with their respective balances and net worth values. The table has three columns: Account, Balance(\$), and Net Worth(\$). Each row has a right-pointing arrow next to the net worth value. The top of the screen shows the navigation bar with 'IBD / OFF / IP' and an edit icon. Below the navigation bar, it says '52D / ALL / ALL (8458 accounts)'. The status bar at the top shows 'iPad', signal strength, '11:35 AM', and '57%' battery.

Account	Balance(\$)	Net Worth(\$)
JOHN DOE (****00000)	847,566.32	20,617,964.92
JOHN A. DOE (****00001)	343,459.47	736,020.14
JOHN B. DOE (****00002)	6,018,859.91	40,173,495.55
JOHN C. DOE (****00003)	8,464,191.22	71,265,611.44
JOHN D. DOE (****00004)	5,197,037.81	9,246,233.21
JOHN E. DOE (****00005)	388,795.85	445,423.02
JOHN F. DOE (****00006)	324,946.26	553,910.46
JOHN G. DOE (****00007)	90,805.37	90,805.37
JOHN H. DOE (****00008)	1,368,660.43	1,853,595.80

Access Consolidated Account Information

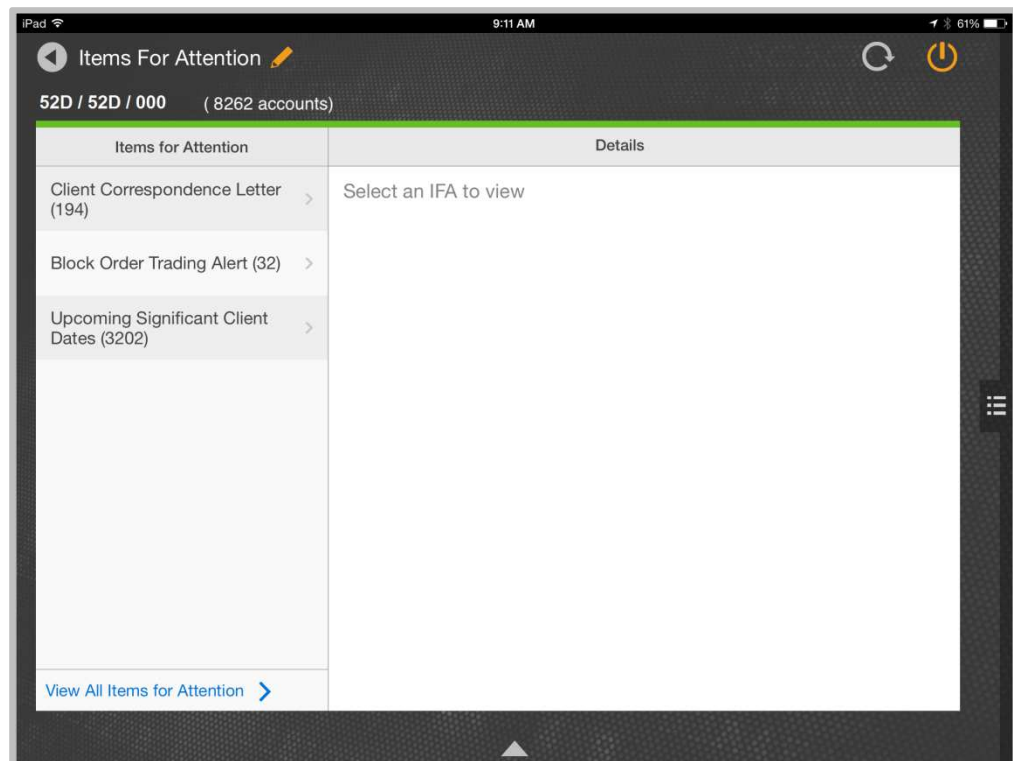
1. Tap the accounts tile at the top of **My Practice**. The **Balances** page displays at the selected firm, office and advisor level.
2. To change the firm, office or advisor level, tap the edit icon .
3. Enter the desired firm (IBD), office and advisor (IP) numbers. You can enter **ALL** to display all offices or advisors.
4. Tap **Display**.
5. Swipe across to view additional pages.

Move Between Pages

- Swipe across to move between pages.
Or
Tap the arrow icon  to the right of the page name and tap the desired page.

For Your Information


The **For Your Information** section displays Items for Attention (IFA) at the IBD, office or advisor level.



View an IFA

1. Tap the **For Your Information** tile under **My Practice** on the NetX360 Mobile home page. The **Items for Attention** page displays.
2. Tap an item to view details.

Change the IBD, Office or Advisor Level

1. Tap the edit icon .
2. Enter the desired firm (IBD), office and advisor (IP) numbers. You can enter **ALL** to display all offices or advisors.
3. Tap **Display**.

View All Items for Attention

This feature is available on NetX360 Mobile for iPad and Surface Pro.

1. Tap **View All Items for Attention** in the lower left. The **All Items for Attention** page displays.
2. Tap a number link in the **No. of Items** column. A list of items in the selected category displays.
3. To return to the **All Items for Attention** page, tap **Back**.

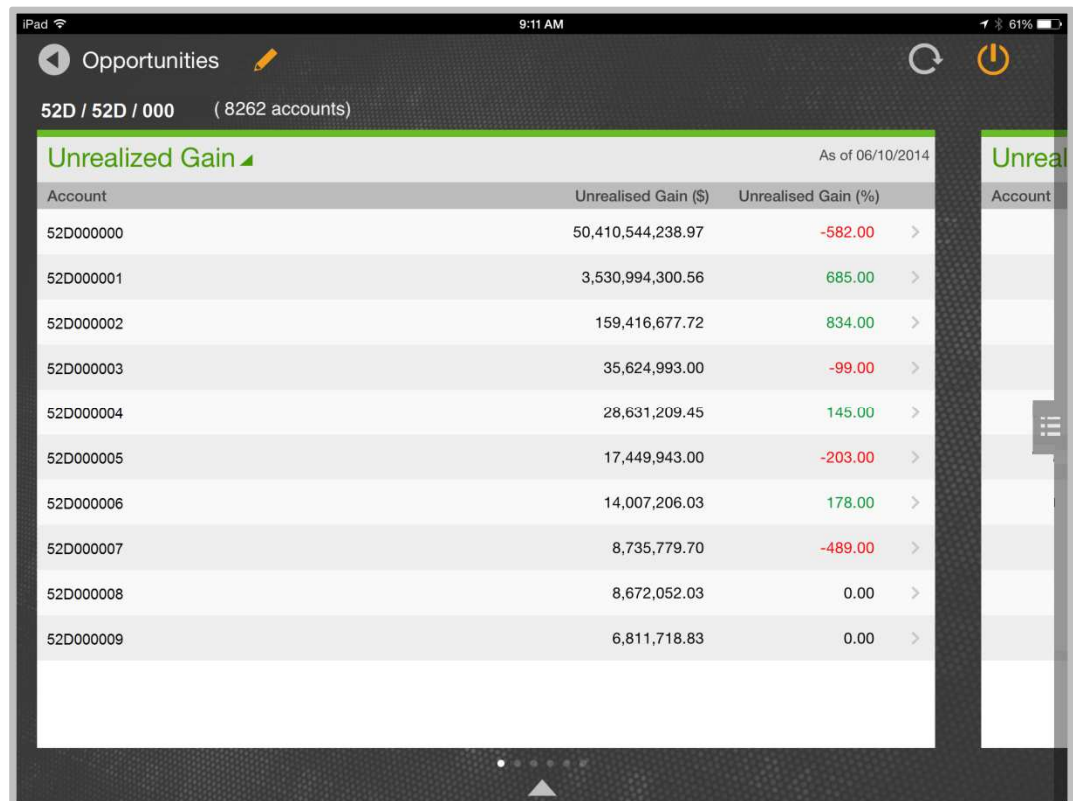
Opportunities

Opportunities displays key information about your clients' accounts that helps you discover new investment ideas and reasons to contact your clients, including:

- Unrealized gains and losses
- Cash positions
- Market value
- Net worth
- Funds due

To move between pages, see *Move Between Pages* on page 43.

To change the IBD, office and advisor level for the data displayed on these pages, see *Change the IBD, Office or Advisor Level* on page 43.

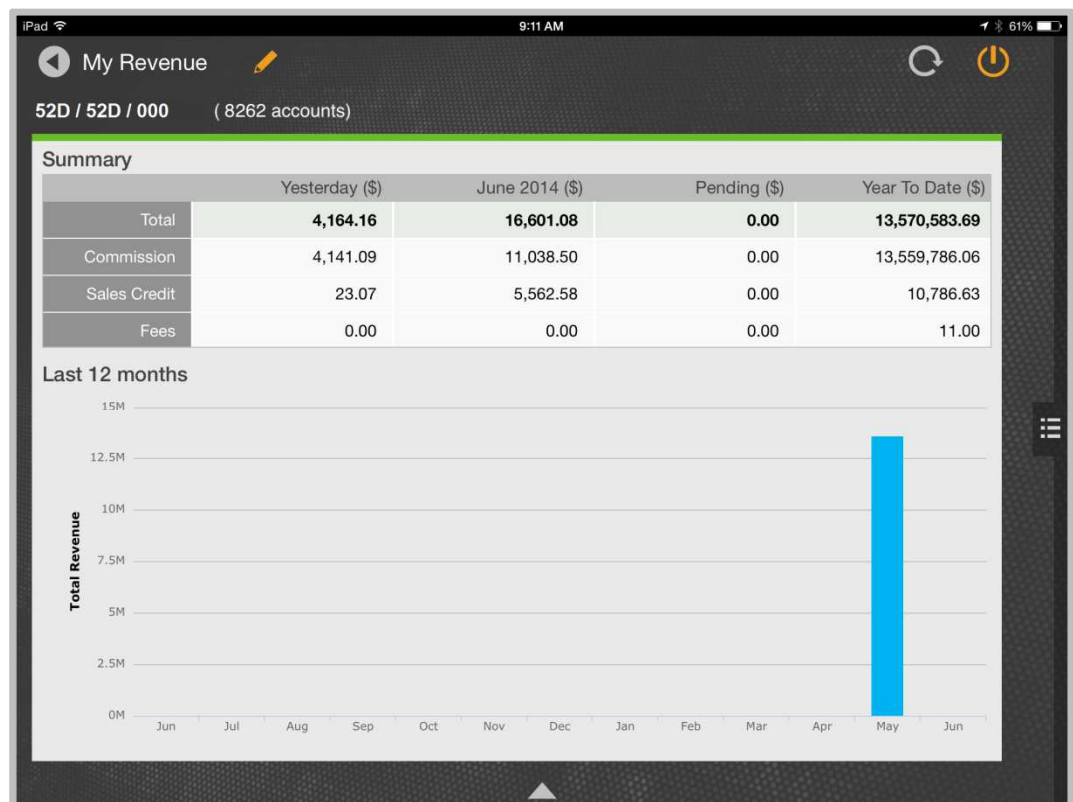


Account	Unrealized Gain (\$)	Unrealized Gain (%)
52D000000	50,410,544,238.97	-582.00
52D000001	3,530,994,300.56	685.00
52D000002	159,416,677.72	834.00
52D000003	35,624,993.00	-99.00
52D000004	28,631,209.45	145.00
52D000005	17,449,943.00	-203.00
52D000006	14,007,206.03	178.00
52D000007	8,735,779.70	-489.00
52D000008	8,672,052.03	0.00
52D000009	6,811,718.83	0.00

My Revenue

My Revenue displays a summary of your revenue, including commission, sales credit, and fees, for the previous business day, current month, year-to-date, and the last 12 months. Pending revenue is also displayed.

To change the IBD, office and advisor level for the data displayed on these pages, see *Change the IBD, Office or Advisor Level* on page 43.



17. Select Wallpaper

This feature is available on NetX360 Mobile for iPad, Android and Surface Pro.

1. Tap **Settings** from the menu or app bar. The **Settings** page displays.
2. Tap **Wallpaper**. Wallpaper selections display.
3. Tap the desired wallpaper.
4. Tap **Done**.

